

Risk Matrix

Analysis of risks set out in ASA: The risk analysis set out in this grid has been completed against the Trust's risk scoring matrix under which a score of 4 or less is regarded low, between 6 and 14 as moderate and 15 to 25 as significant.

| Risk Title | Trust Risk Number | Risk Description | Controls and Mitigation in Place | Current Risk Score | | | Risk Owner |
|---|---------------------|---|--|--------------------|------------|-------|------------|
| | | | | Impact | Likelihood | Score | |
| FINANCIAL | | | | | | | |
| Increased overspends on the independent sector | 1236 | Increase in overspends on the Independent Sector (Placed People, Adult Social Care) budgets will impact on the Trust's ability to achieve the business plan current year's business plan. | This risk will be mitigated through discussion at the following groups and meetings: <ol style="list-style-type: none"> 1. Monthly Finance, Performance & Investment Committee meetings. 2. Monthly Social Care Programme Board meetings. 3. Placed People Oversight Group. 4. SFIs and Scheme of Delegation | 5 | 4 | 20 | Shared |
| STRATEGIC / COMMISSIONING | | | | | | | |
| Care Home Fees | Council Risk | In 2014/15 care home fees were set within a new banding structure for residential care. This has been challenged through JR. | <ul style="list-style-type: none"> • This challenge is currently under appeal and in addition to this commissioners (Torbay Council) are in on-going discussions with the care home market. | 3 | 4 | 12 | Council |
| Insufficient capacity for Domiciliary Care | 631 | The risk is that there is insufficient capacity in relation to domiciliary care. | A range of controls are in place as set out in the corporate risk register. | 4 | 3 | 12 | Shared |

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| OPERATIONS | | | | | | | |
| Community support for change | Council Risk | Concern may be raised in response to implementation of the programme of work outlined in this agreement which may affect the pace of delivery. | This is mitigated through: <ul style="list-style-type: none"> • The close involvement of, and engagement with the individuals involved, their families and carers through the relevant assessment and reassessment processes. • Moderation of decision making in complex cases through Zone managers and the complex care review panel. • Consistent application of the cost choice risk policy • Escalation of individual cases to the Social Care Programme Board, support from Council Legal services and briefing for Members where particularly difficult, sensitive or contentious cases arises. | 4 | 3 | 12 | Council |
| Mental Capacity Act 2005 Deprivation of Liberty Safeguards | 803 | In March 2014 the Supreme Court set a ruling to clarify the threshold for a Deprivation of Liberty, this now set as being: "A person is under Continuous Supervision and Control and not free to leave". As a consequence of the ruling, the number of people residing in Care home or Hospital settings that fall within the scope of the Deprivation of Liberty Safeguards, has significantly increased. | A range of controls are in place as set out in the corporate risk register. | 3 | 4 | 12 | Shared |

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| Risk Of Not Covering The EDS Rota Due To Staff Shortages | 668 | Risk of not covering the Emergency Duty Social worker (EDS) rota due to staff shortages and recruiting appropriately qualified staff. Current staff are also of retirement age and there is a great reliance on good will. | A range of controls are in place as set out in the corporate risk register. | 4 | 3 | 12 | Trust |
| Complex Care Delivery Risk | 722 | Risk that the Trust may not deliver on development of integrated complex care over full patch. | <ul style="list-style-type: none"> Pioneer bid in support- national sponsor identified. Complex Care teams integrated within zones, community hubs in Torbay area driving model. Working in conjunction with commissioners and Director of Organisation for Pioneer. CHC SDIP monitoring Place People. Governance Group meeting with CG on a monthly basis. | 3 | 2 | 6 | Shared |

NOTE: The shared risks recorded in the grid will be managed separately by the Trust and the Council through their respective risk management processes.